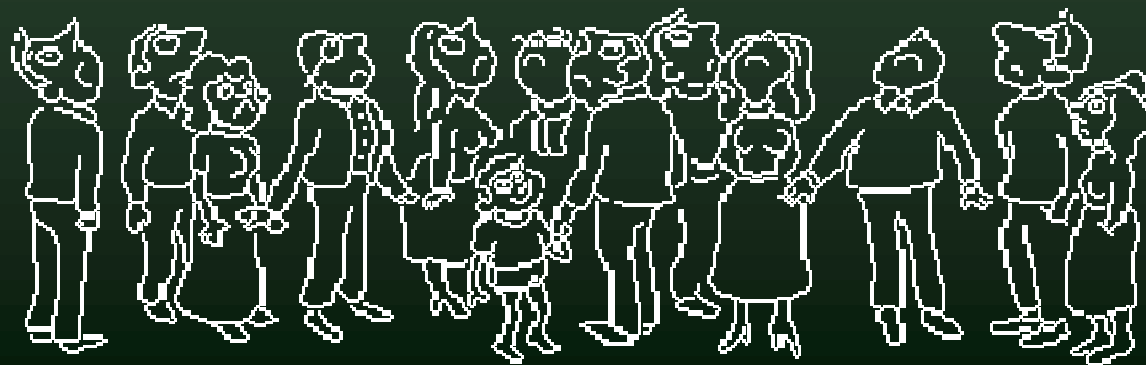

Thermal Design and Optimization of Heat Sinks

J. Richard Culham



Outline

Background

Modelling Approach

Validation

Optimization

Future Work

Summary

40 Watts! What's the big deal?



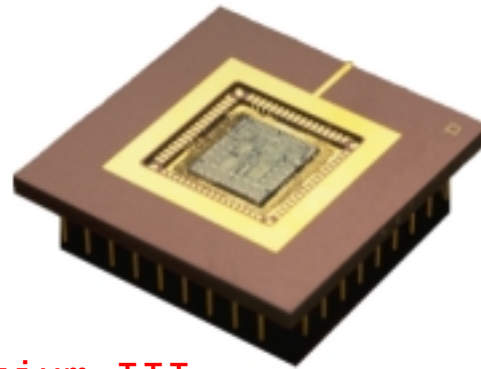
Light Bulb

- Power: 40 W
- Area: 120 cm²
- Flux: 0.33 W/cm²



80

x



Pentium III

- * 0.25 micron CMOS technology
- * 9.5 million transistors
- * 450 - 550 MHz

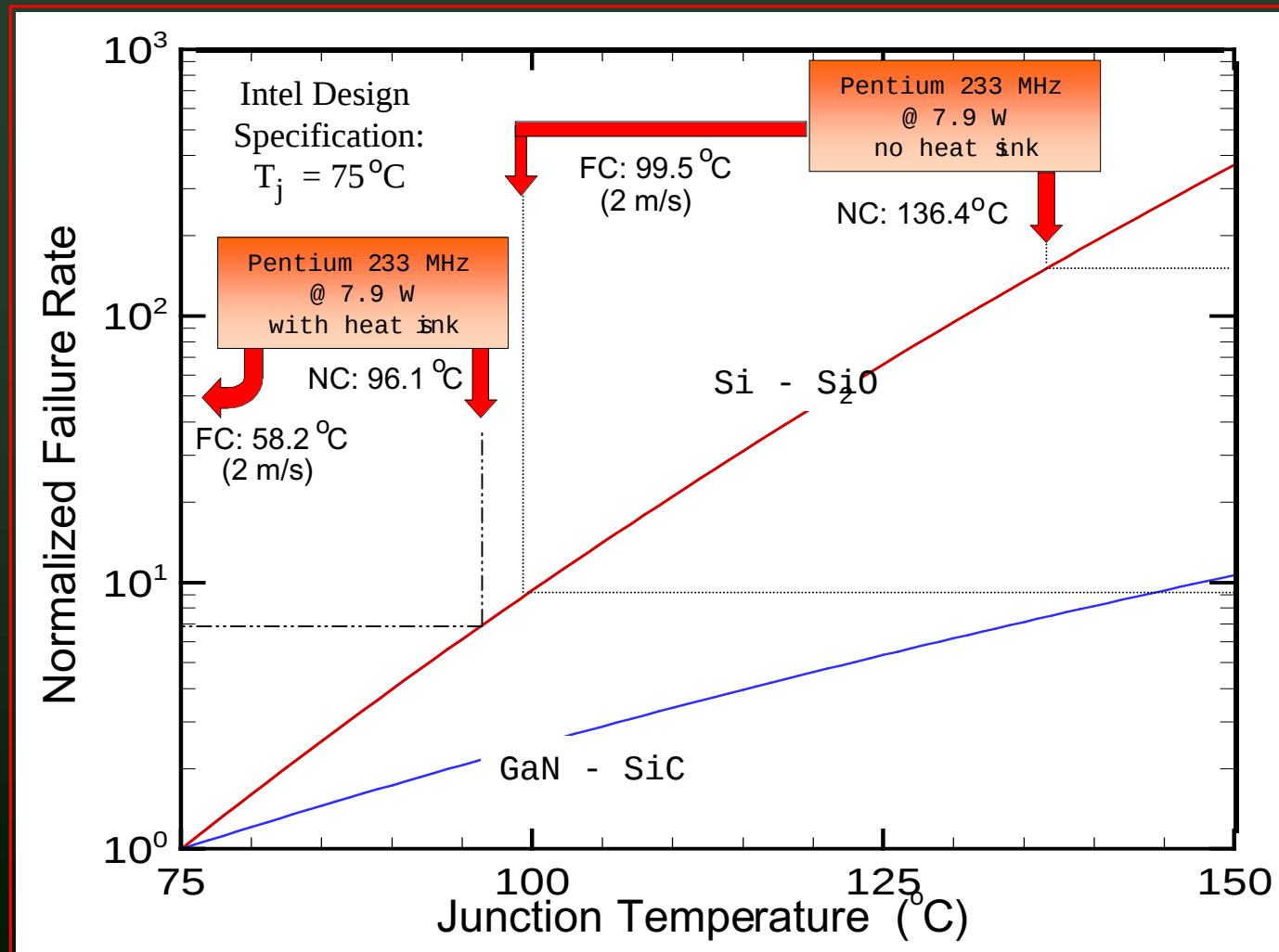
Silicon

- Power: 40 W
- Area: 1.5 cm²
- Flux: 26.7 W/cm²

Package

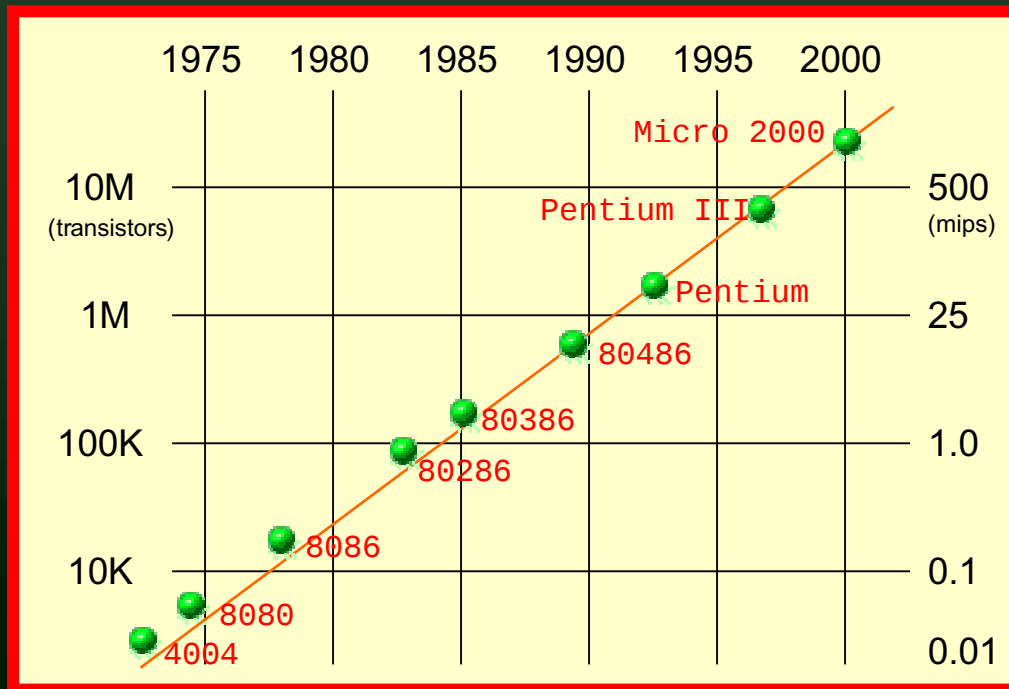
- Rj-c: 0.94 C/W
- Rj-a: 6.8 C/W (no heat sink)
- Rj-a: 2.5 C/W (heat sink)

Component Failure Rate



Moore's Law (1965)

- each new chip contains roughly twice as much capacity as its predecessor
- a new generation of chips is released every 18 - 24 months



From: www.intel.com

➡ *in 26 years, the population of transistors per chip has increased by 3,200 times*

IC Trends: Past, Present & Future

	1980	1999	2003	2006	2012
Comp. Per Chip	0.2 M	6.2 M	18 M	39 M	100 M
Frequency (MHz)	5	1250	1500	3500	10000
Chip Area (sq. cm)	0.4	4.45	5.60	7.90	15.80
Max. Power (W)	5	90	130	160	175
Junction Temp. (C)	125	125	125	125	125

From: David L. Blackburn, NIST

Why Use Natural Convection?

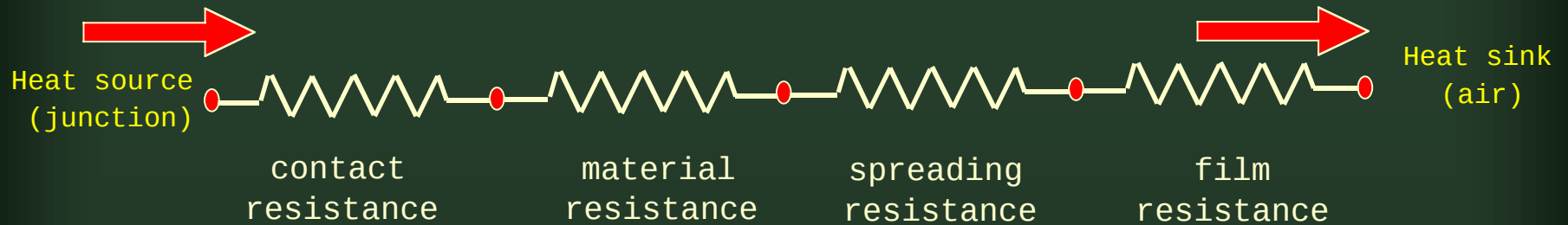
simplicity:

- ↳ low maintenance
- ↳ lower power consumption
- ↳ less space (notebook computers)

less noise

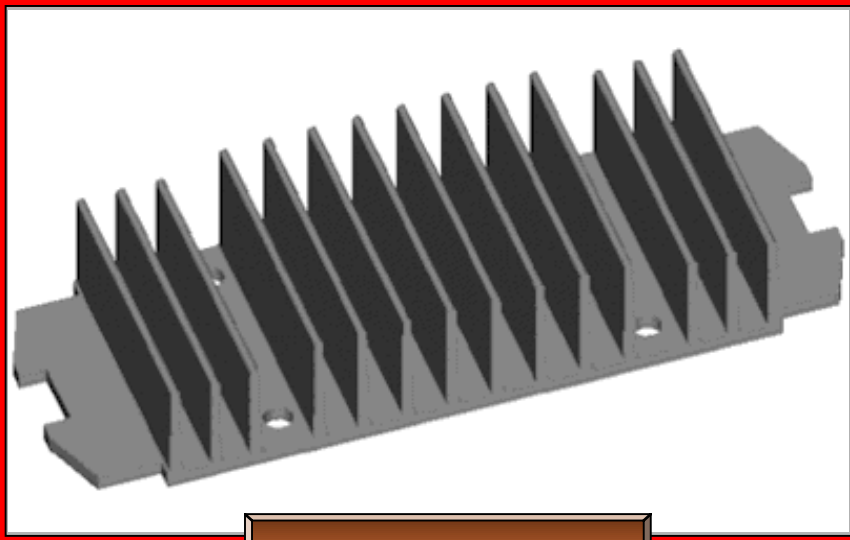
fail safe heat transfer condition

Thermal Resistance

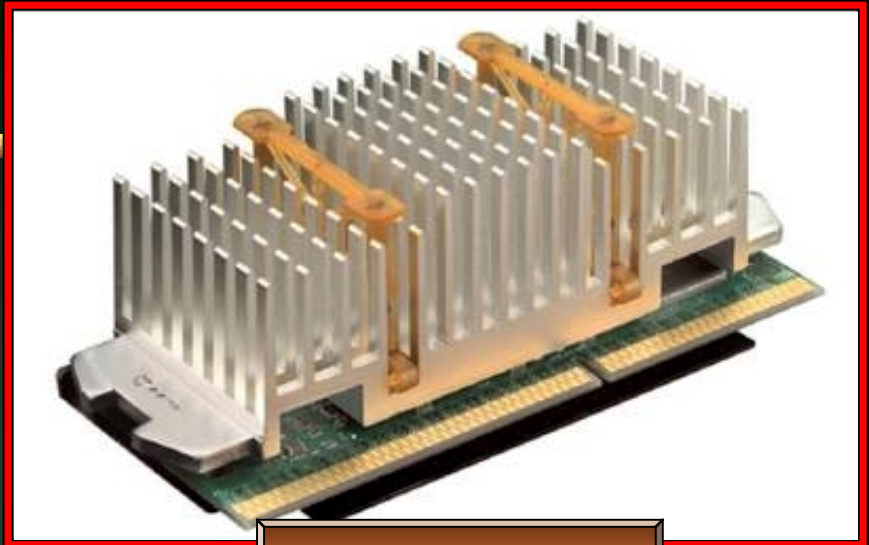


$$\downarrow R_{film} \equiv \frac{1}{h \cdot A} \uparrow$$

- increased heat transfer coefficient
 - immersion cooling (boiling)
 - impingement cooling
 - forced air
 - natural convection
- increased surface area
 - spreaders
 - heat sinks

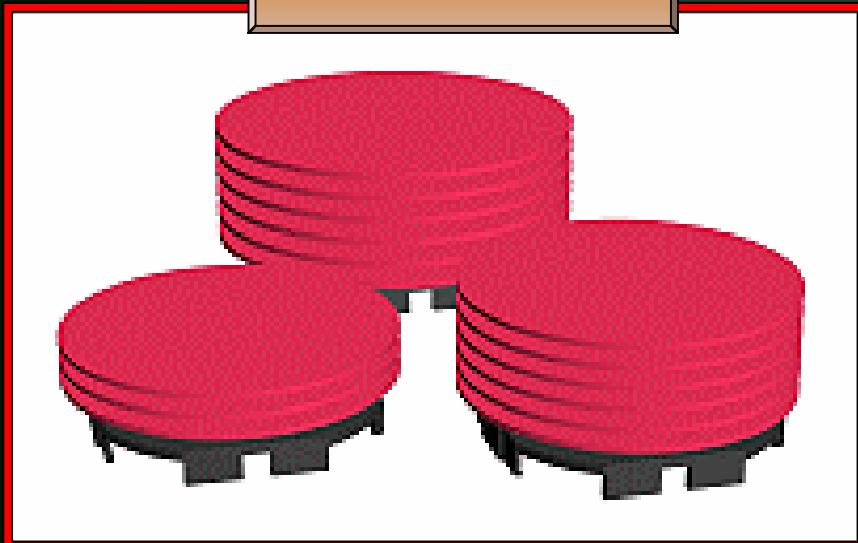


PlateFin H.S.



Pin Fin H.S.

Radial Fin H.S.



Specialty H.S.

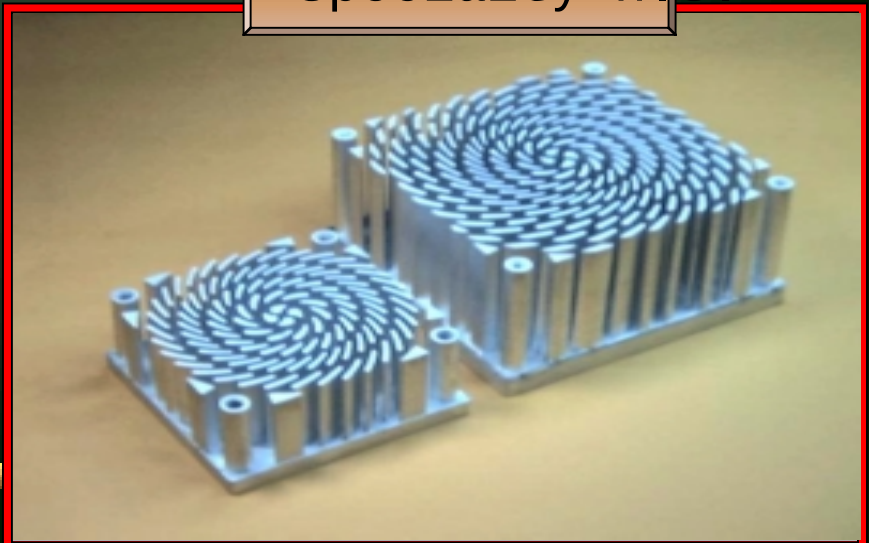
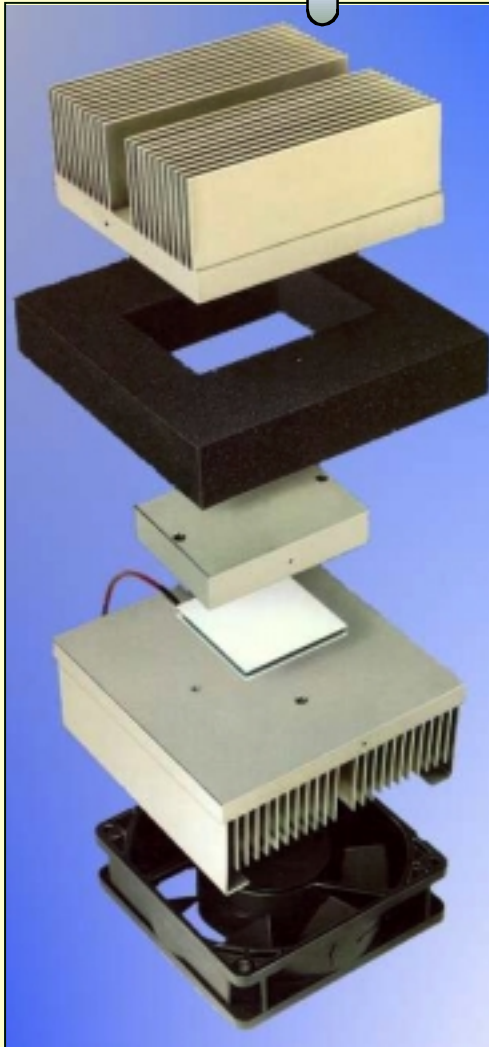
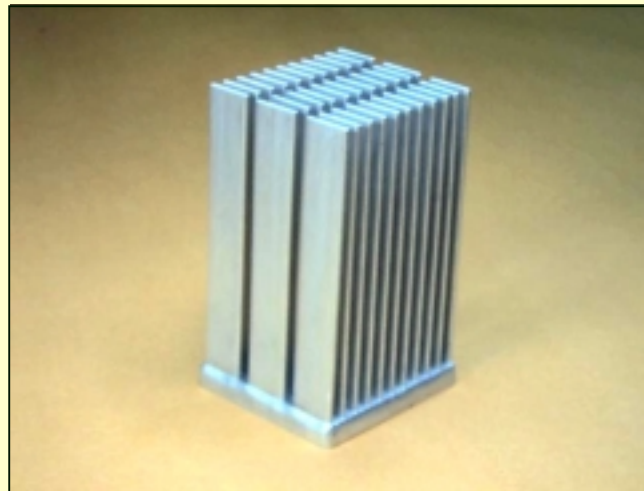
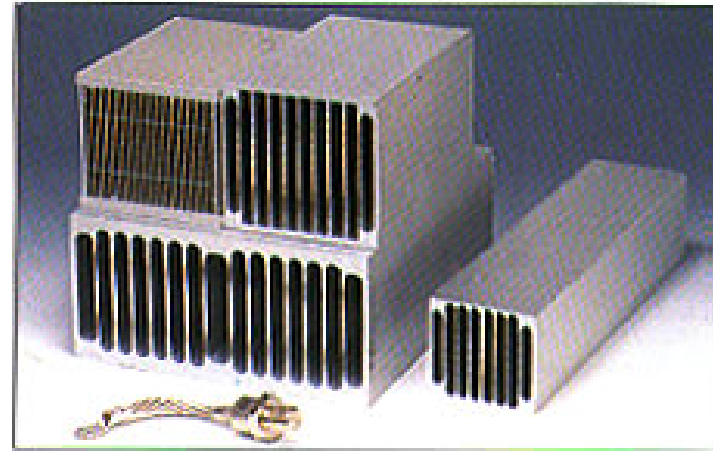


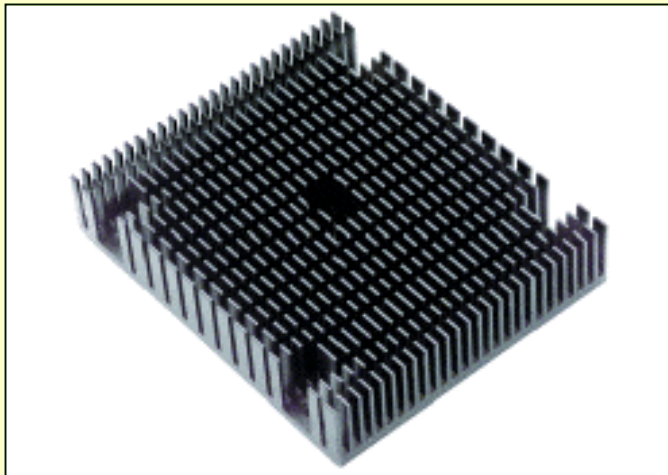
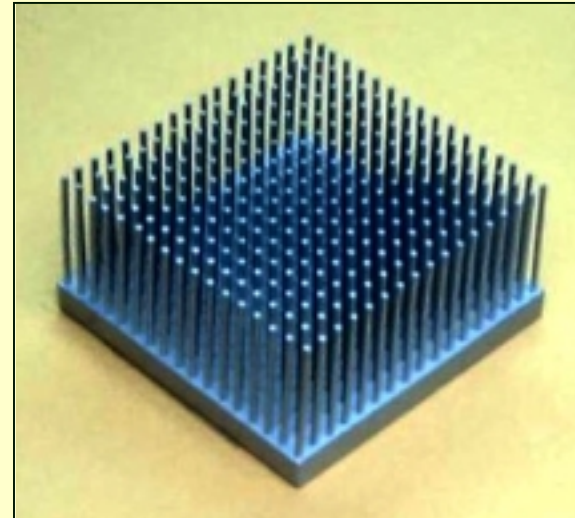
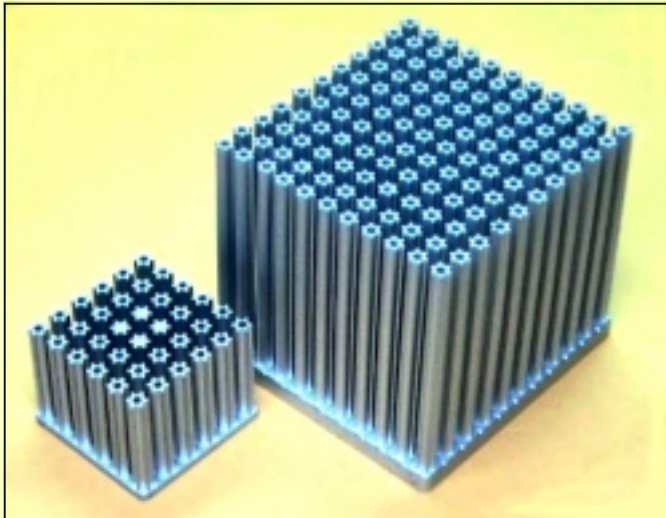
Plate Fin Heat Sinks



UPS, AVR, SUBWAY HEAT SINK



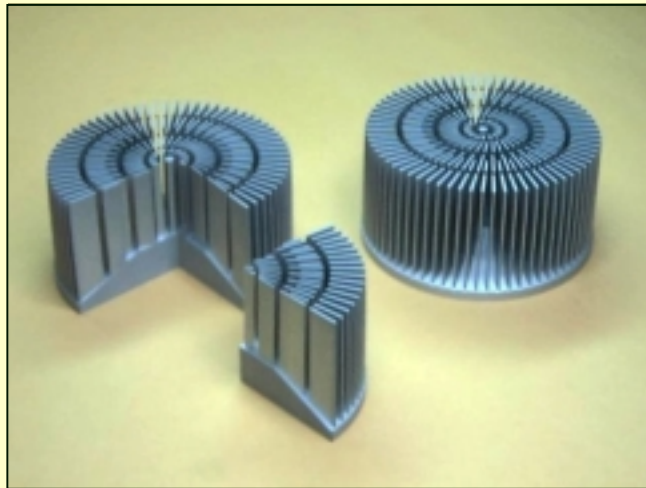
Pin Fin Heat Sinks



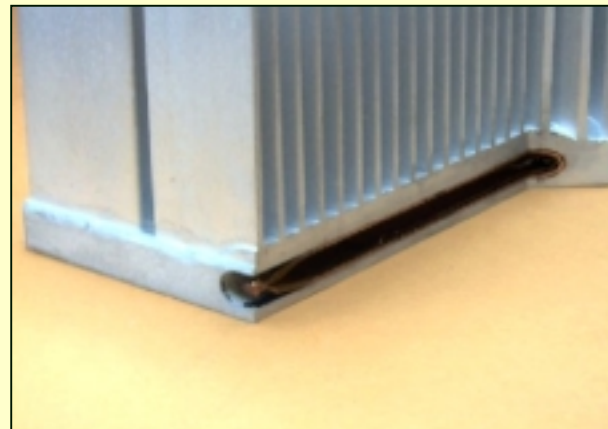
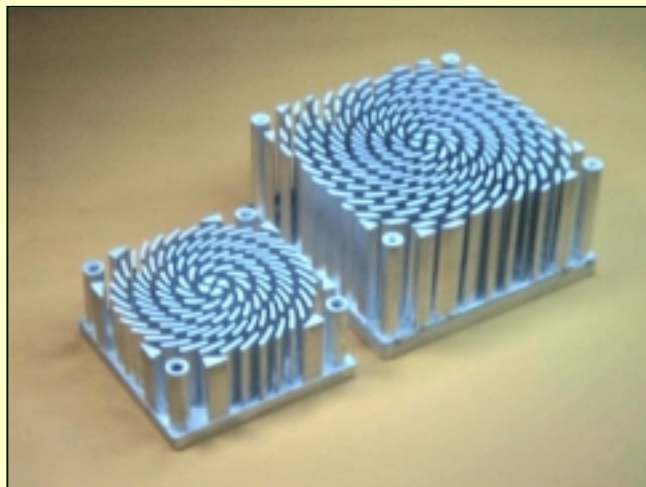
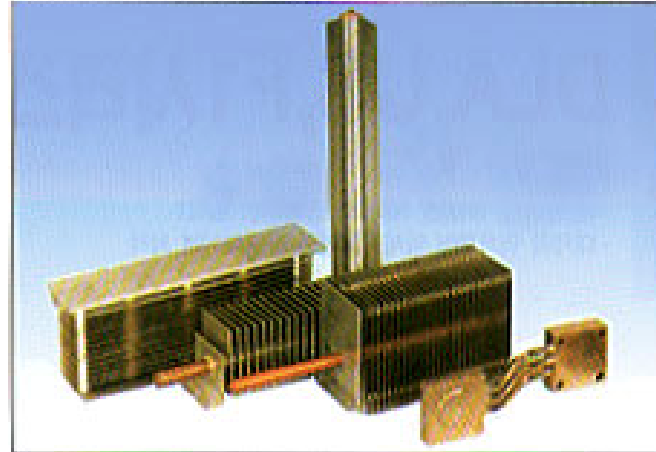
Radial Fin Heat Sinks



Specialty Heat Sinks



HEAT PIPE HEAT SINK



Heat Sink Model

Plate fin heat sink

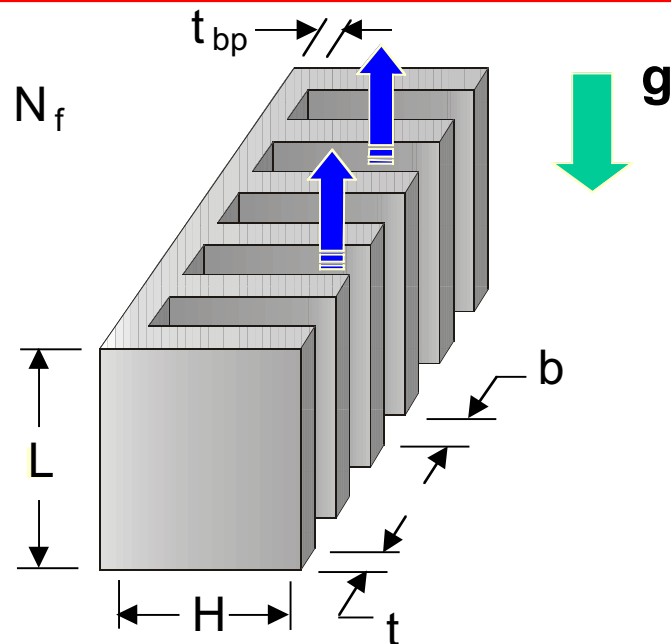
Natural convection

Isothermal

Steady state

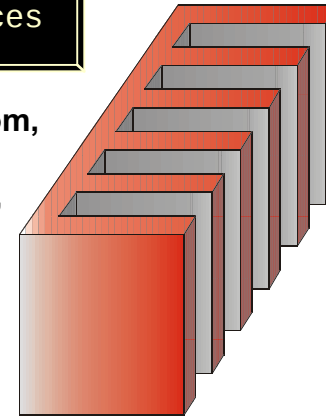
Working fluid is air i.e. $Pr = 0.71$

Modelling Procedure



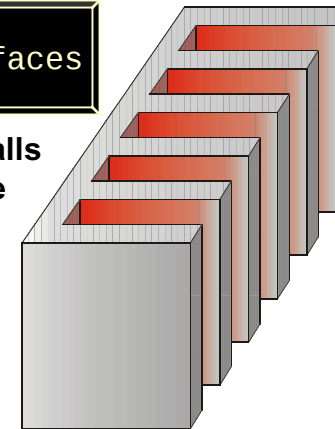
Exterior surfaces

- fins : top, bottom, ends & tip
- base plate: top, bottom, ends and back



Interior surfaces

- fins : side walls
- channel base

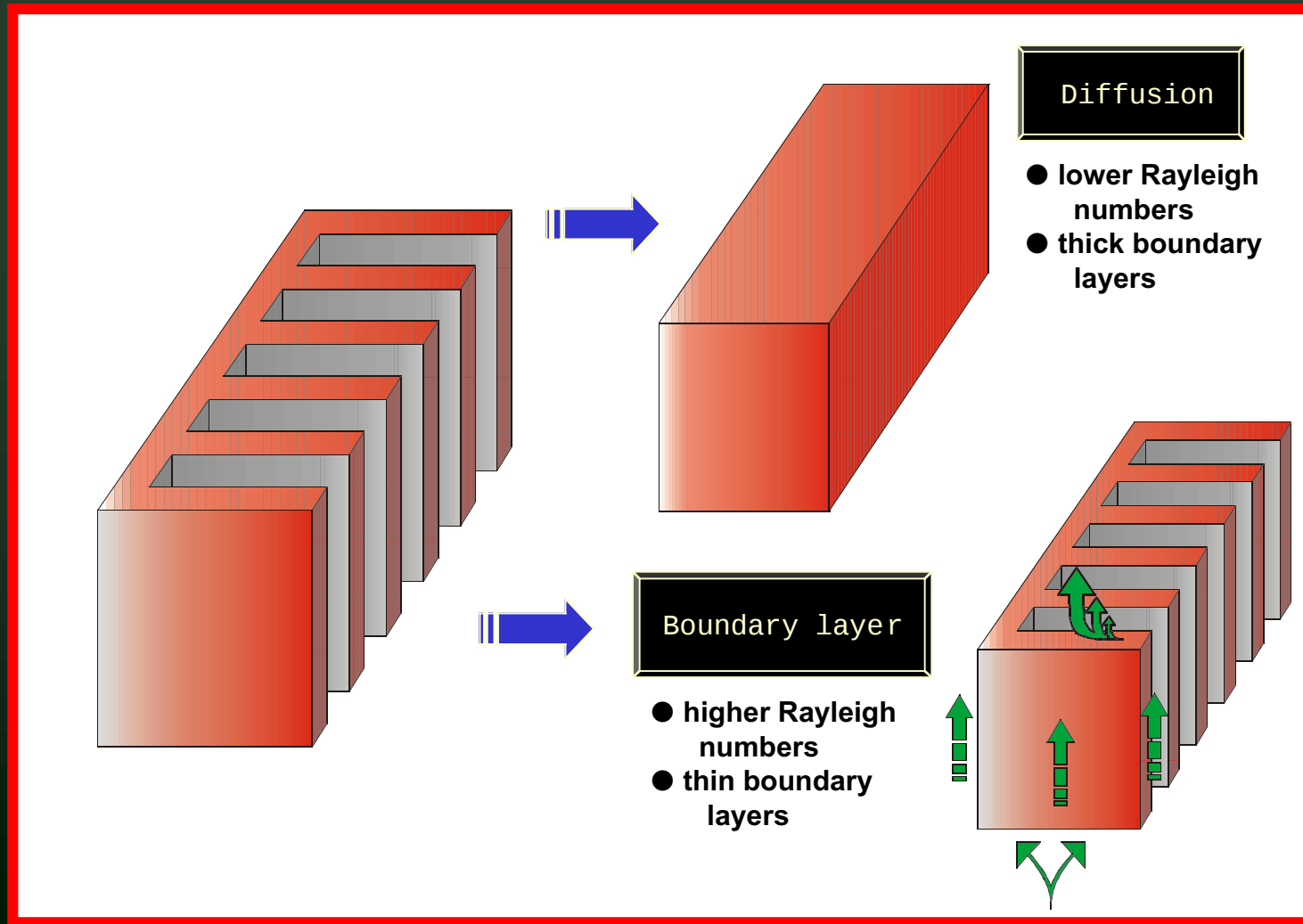


Given: dimensions & temperature

Find: Nu_b vs. Ra_b

$$Nu_b = \frac{hb}{k_f} = \frac{g \beta \Delta T b^3}{\alpha \nu} \cdot \frac{b}{L}$$

Exterior Surfaces



Diffusion Model

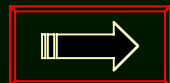
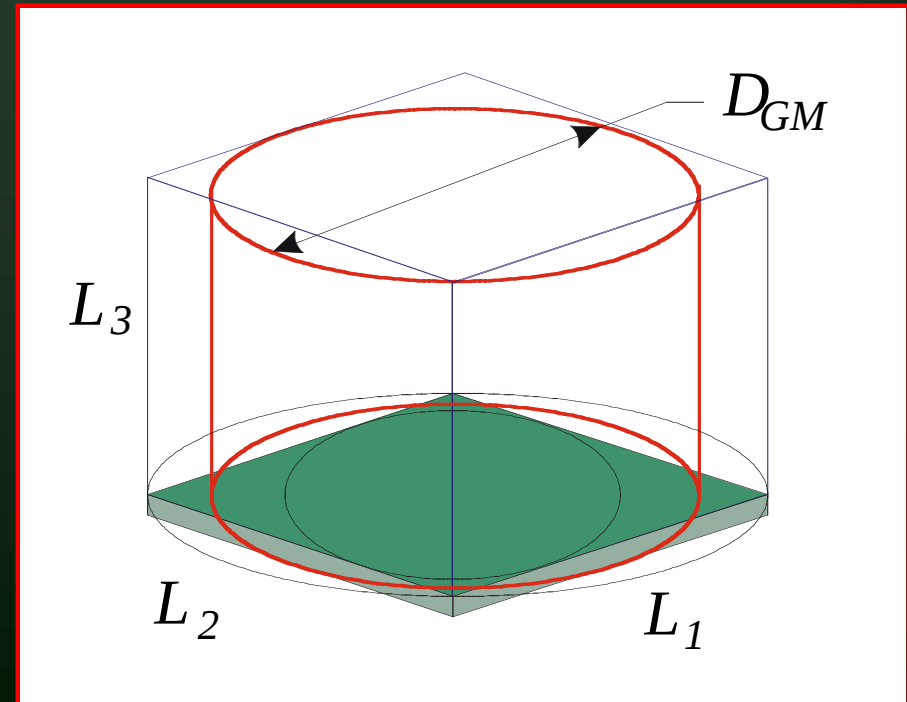
$$Nu_0 = S_{\sqrt{A}}^* = \left[S_{\sqrt{A}}^* \right]_{plate} \left(\frac{1 + 0.8688 (L_3 / D_{GM})^{0.76}}{\sqrt{1 + 2 L_3 / D_{GM}}} \right)$$

$$1.0 \leq L_1 / L_2 \leq 5.0$$

$$\left[S_{\sqrt{A}}^* \right]_{plate} = \frac{\sqrt{2/\pi} \left(1 + \sqrt{L_1 / L_2} \right)^2}{\sqrt{L_1 / L_2}}$$

$$5.0 < L_1 / L_2 < \infty$$

$$\left[S_{\sqrt{A}}^* \right]_{plate} = \frac{2\sqrt{2\pi} \sqrt{L_1 / L_2}}{\ln(4 L_1 / L_2)}$$



Exterior Boundary Layer Model

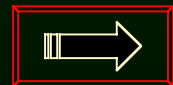
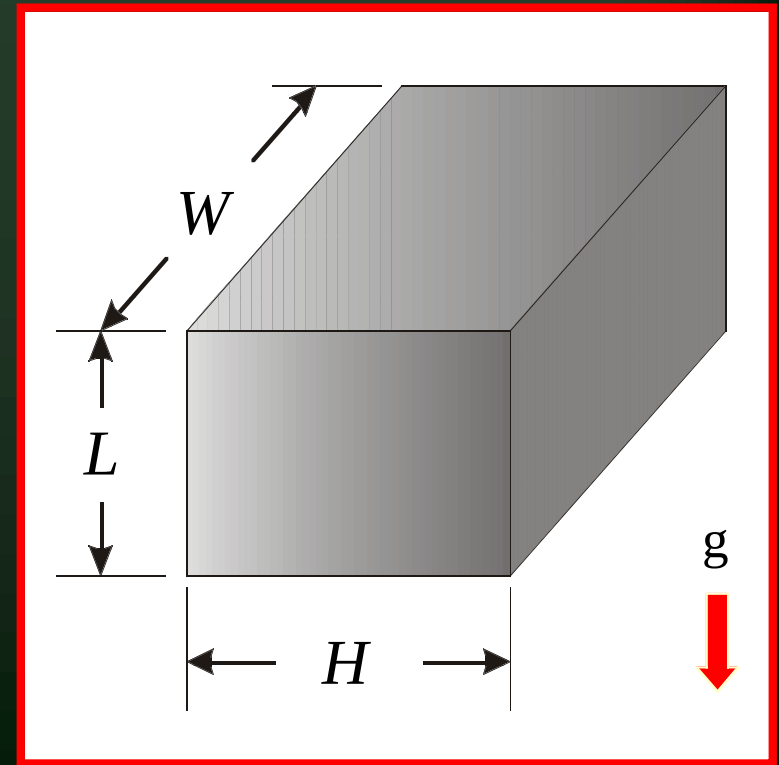
$$Nu_{\sqrt{A}} = G_{\sqrt{A}} \cdot F(\text{Pr}) \cdot Ra_{\sqrt{A}}^{1/4} \quad (\text{in terms of the surface area})$$

where:

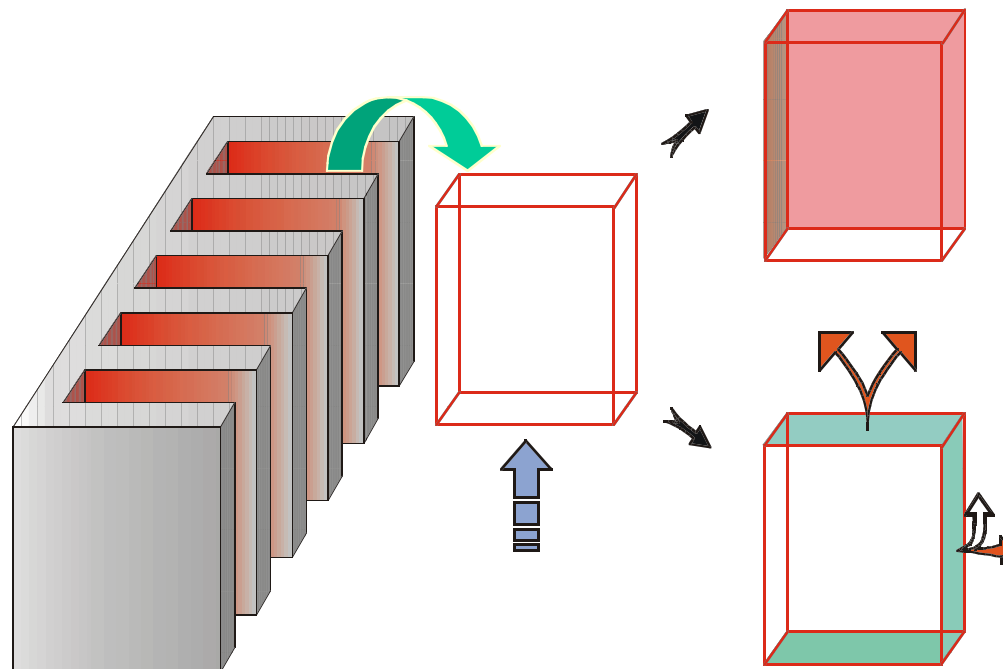
$$G_{\sqrt{A}} = 2^{1/8} \left[\frac{0.625H^{4/3}W + L(H+W)^{4/3}}{L \cdot W + L \cdot H + H \cdot W} \right]^{3/4}$$

$$F(\text{Pr}) = \frac{0.670}{\left[1 + (0.5 / \text{Pr})^{9/16} \right]^{4/9}}$$

$$Ra_{\sqrt{A}} = \frac{g\beta\Delta T(\sqrt{A})^3}{\alpha\nu}$$



Interior Surfaces



Channel flow

- Elenbaas model with adjustment for end wall
- combined flow : developing + fully developed

Control surfaces

- open surfaces with energy migration

Parallel Plates Model

Elenbaas, 1941

$$Nu_b = \frac{1}{24} Ra_b \left\{ 1 - \exp(-35 / Ra_b) \right\}^{3/4}$$

Churchill, 1977

$$Nu_b = \left\{ Nu_{fd}^{-m} + Nu_{dev}^{-m} \right\}^{-1/m}$$

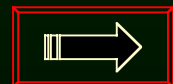
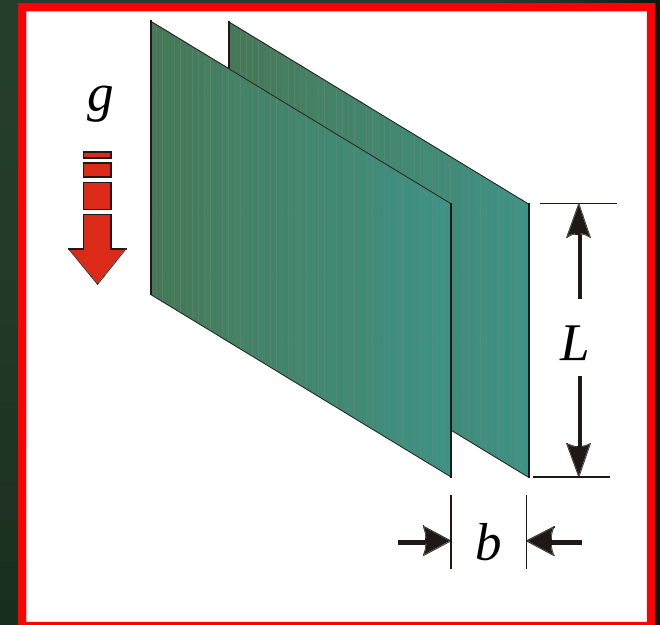
$$Nu_{fd} = \frac{1}{24} Ra_b$$

$$Nu_{dev} = G_{\sqrt{A}} \cdot F(Pr) \cdot Ra_b^{1/4}$$

fd - fully developed
dev - developing flow

$G_{\sqrt{A}}$ - body gravity function

$F(Pr)$ - Prandtl number function



Comprehensive Model

$$Nu = \underbrace{Nu_0}_{\text{diffusion}} + \frac{1}{\frac{1}{\frac{Nu_2}{4}} + \frac{1}{\frac{Nu_3}{2} + \frac{Nu_4}{4}}} + \underbrace{Nu_1}_{\text{external boundary layer flow}}$$

diffusion

channel
flow

external
boundary
layer flow

Model Validation

Limiting Cases

cuboids

- ❶ plate - Karagiozis (1991), Saunders (1936)
- ❷ cube - Chamberlain (1983), Stretton (1988)
- ❸ rectangular prism - Clemes (1990)

parallel plates

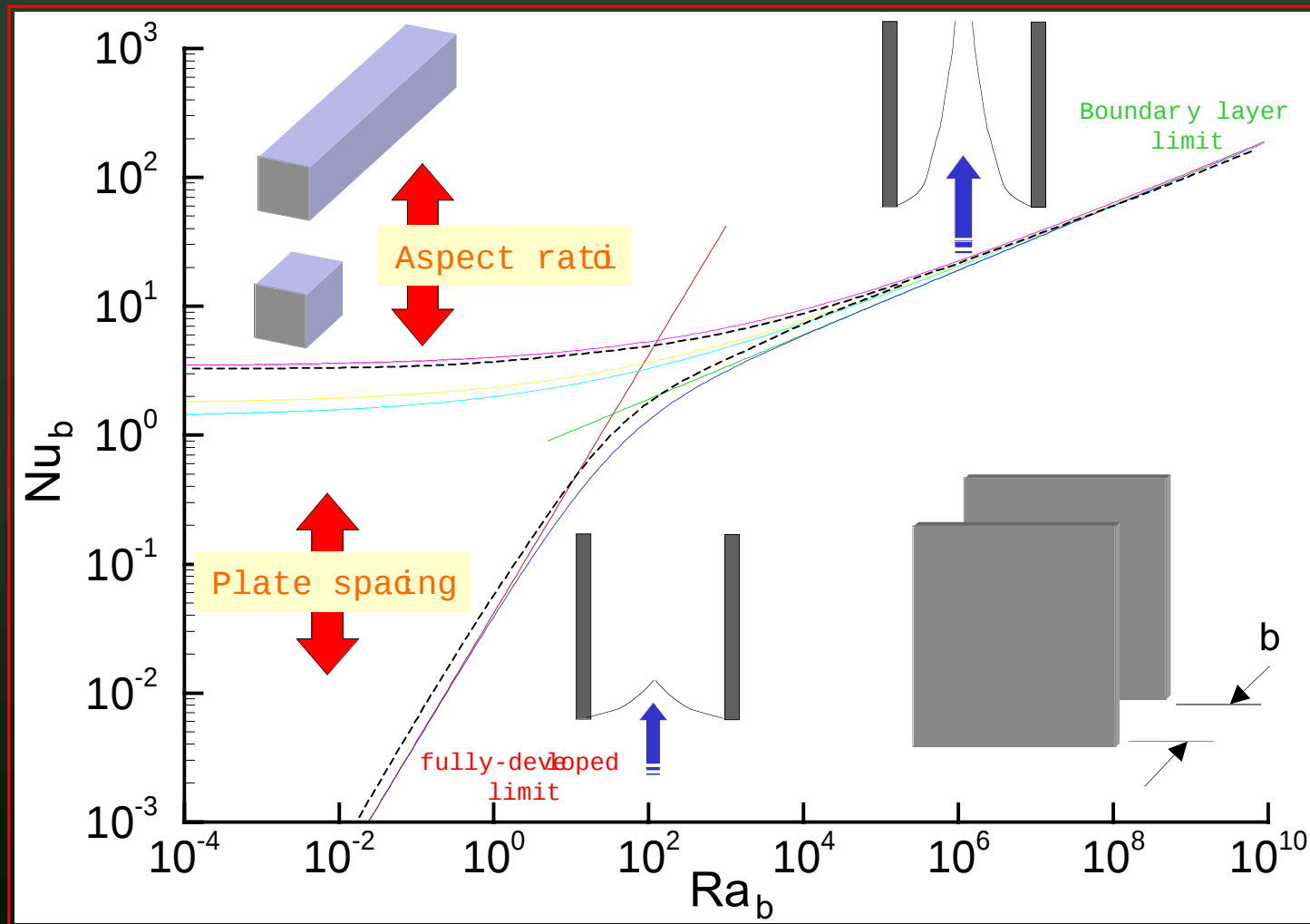
- ❶ Elenbaas (1942), Aihara (1973), Kennard (1941)

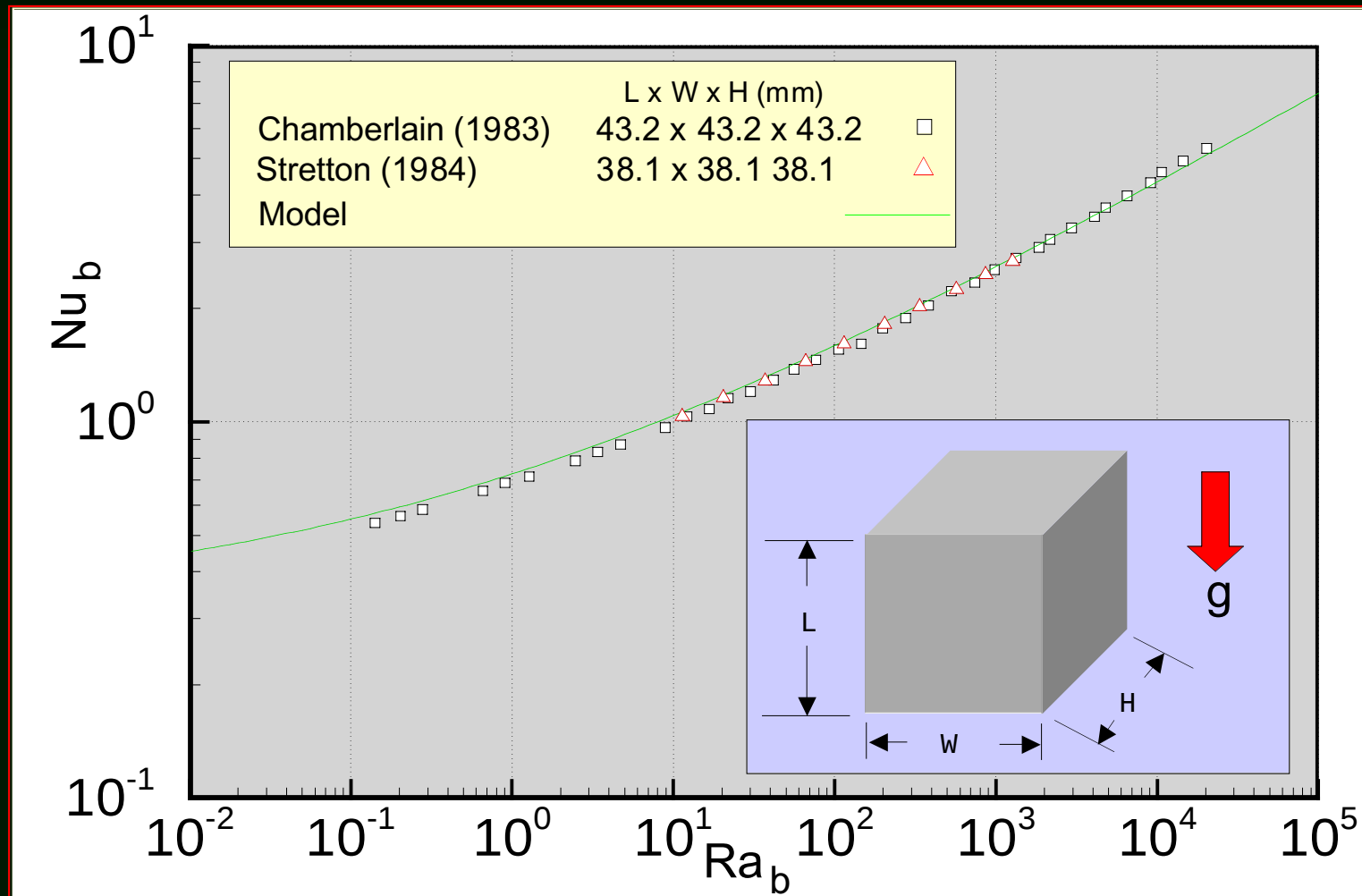
Heat Sinks

Karagiozis (1991)

Van de Pol & Tierny (1978)

Modelling Domain





$$Nu = Nu_0 + \left\{ Nu_2^{-2} + [Nu_3 + Nu_4]^{-2} \right\}^{-1/2} + Nu_1$$

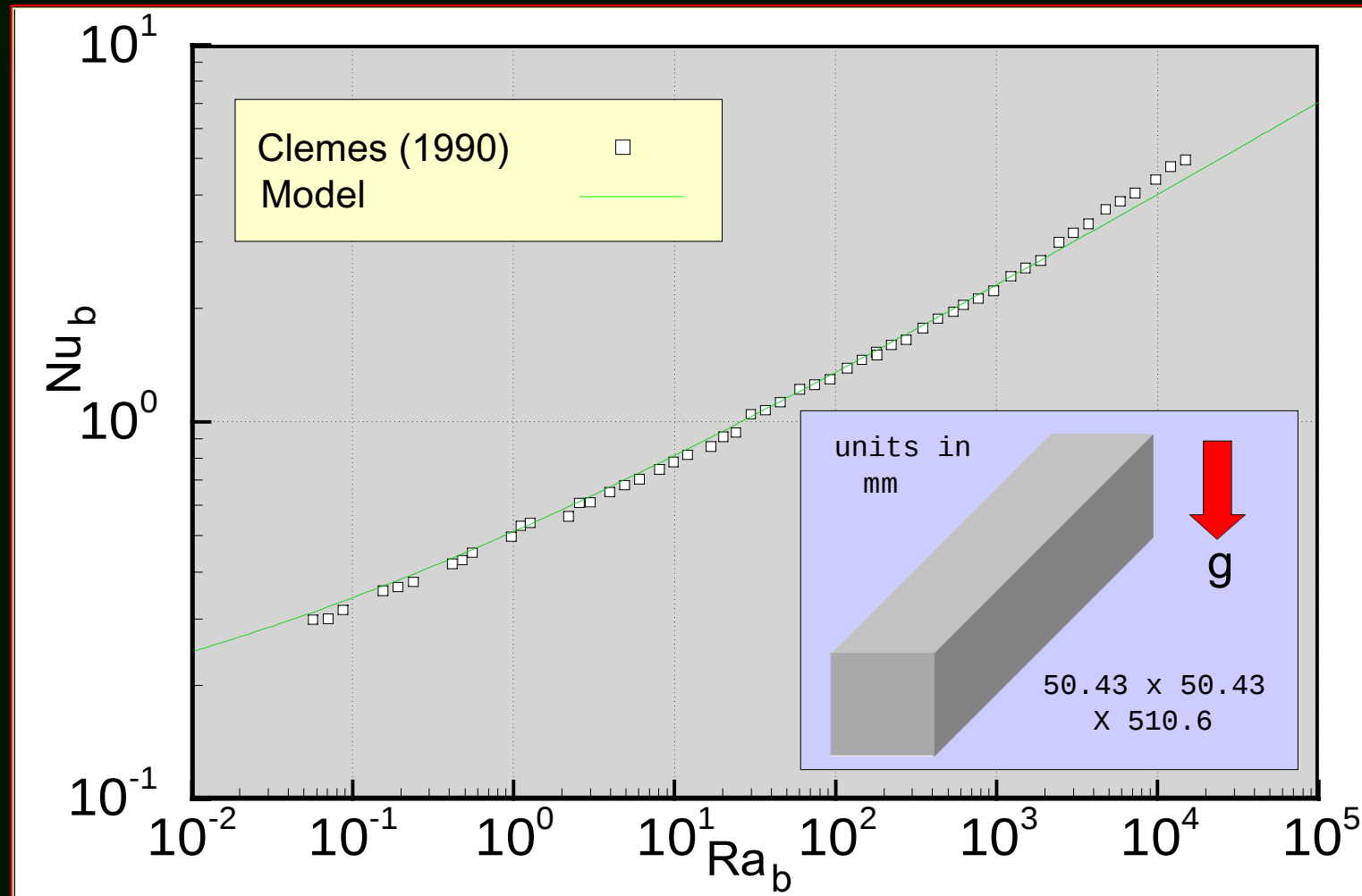
CUBE

PRISM

FLAT PLATE

%% PLATES

HEAT SINK



$$Nu = Nu_0 + \left\{ Nu_2^{-2} + [Nu_3 + Nu_4]^{-2} \right\}^{-1/2} + Nu_1$$

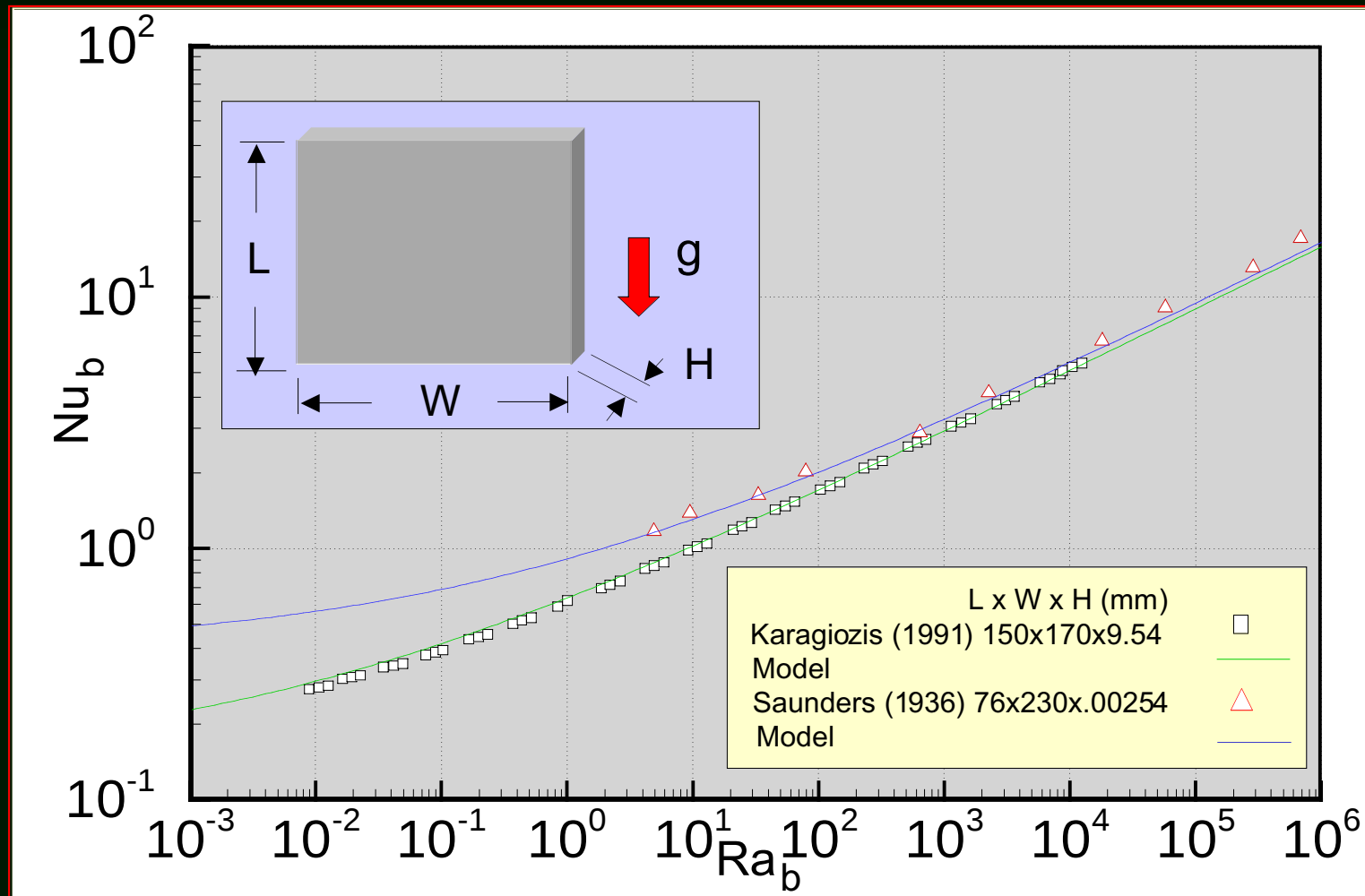
CUBE

PRISM

FLAT PLATE

% % PLATES

HEAT SINK



$$Nu = Nu_0 + \left\{ Nu_2^{-2} + [Nu_3 + Nu_4]^{-2} \right\}^{-1/2} + Nu_1$$

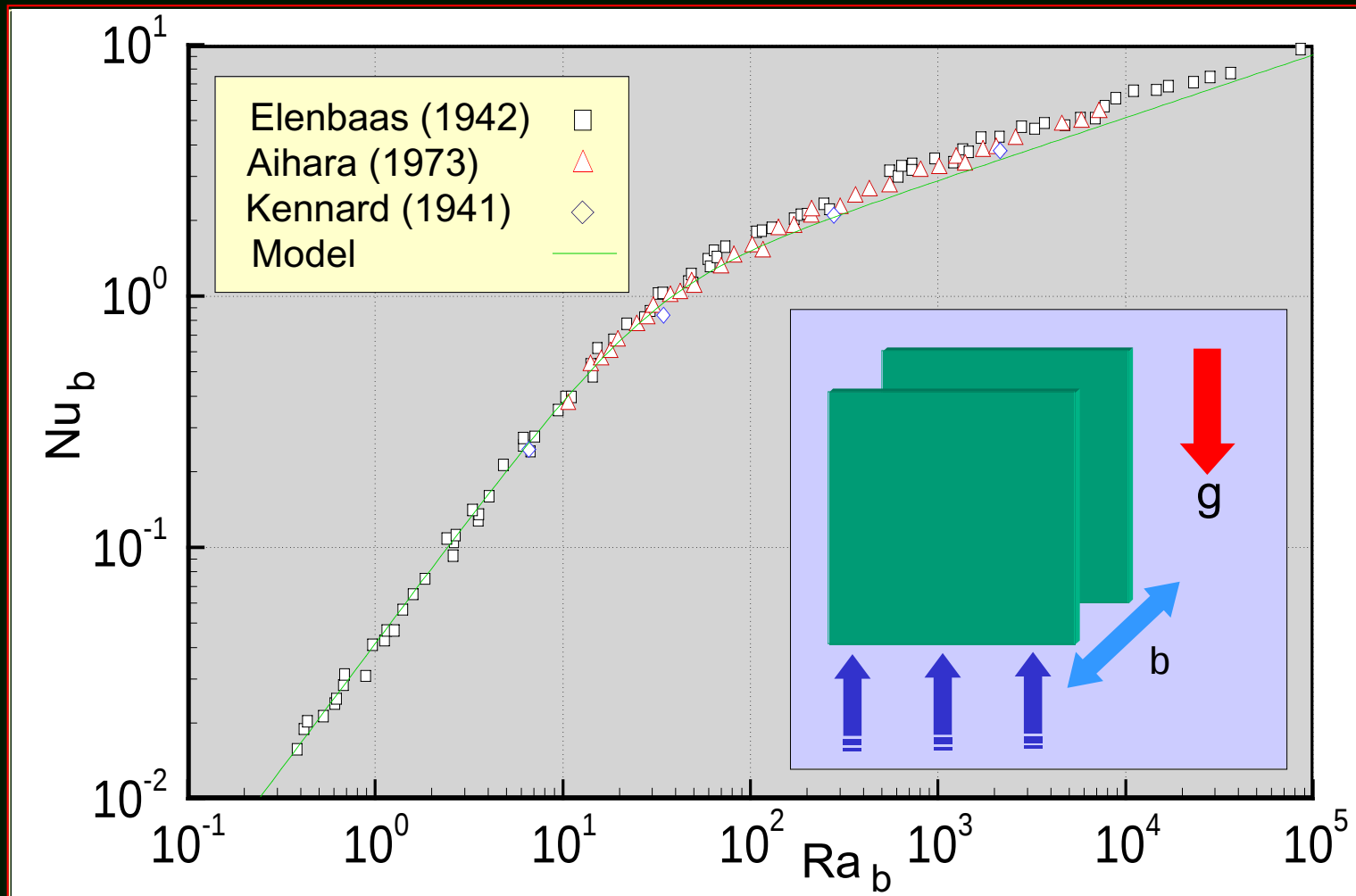
CUBE

PRISM

FLAT PLATE

%% PLATES

HEAT SINK



$$Nu = Nu_0 + \left\{ Nu_2^{-2} + [Nu_3 + Nu_4]^{-2} \right\}^{-1/2} + Nu_1$$

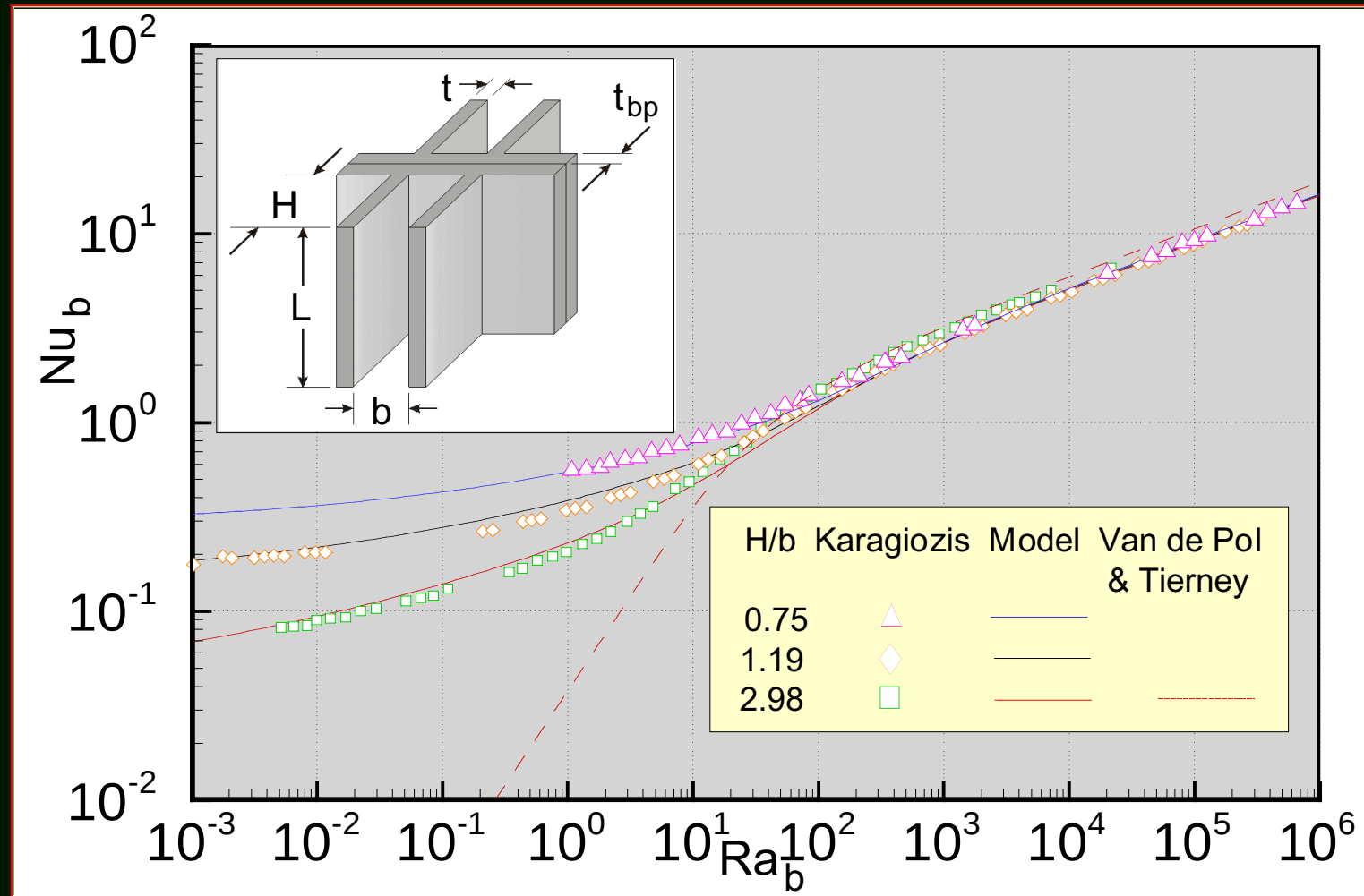
CUBE

PRISM

FLAT PLATE

%% PLATES

HEAT SINK



$$Nu = Nu_0 + \left\{ Nu_2^{-2} + \left[Nu_3 + Nu_4 \right]^{-2} \right\}^{-1/2} + Nu_1$$

CUBE

PRISM

FLAT PLATE

%% PLATES

HEAT SINK

Which is the Right Tool?

Analysis Tool

vs.

Design Tool

- ↳ design is known a priori
- ↳ used to calculate the performance of a given design, i.e. Nu vs. Ra
- ↳ cannot guarantee an optimized design

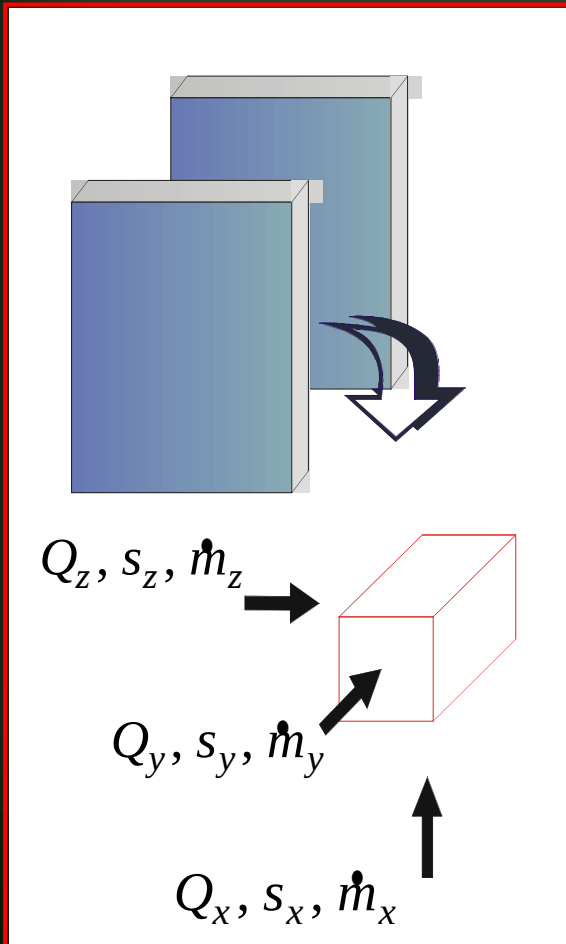
- ↳ used to obtain an optimized design for a set of known constraints
i.e. **given:** • heat input
• max. temp.
• max. outside dimensions
find: the most efficient design
-

Optimization Using EGM

Why use Entropy Generation Minimization?

- ↳ entropy production amount of energy degraded to a form unavailable for work
 - ↳ lost work is an additional amount of heat that could have been extracted
 - ↳ degradation process is a function of thermodynamic irreversibilities e.g. friction, heat transfer etc.
 - ↳ minimizing the production of entropy, provides a concurrent optimization of all design variables
-

Entropy Balance (local)



$$\dot{S}_{gen}''' dV = \left(\dot{m} s + \frac{Q}{T_0} \right)_{out} - \left(\dot{m} s + \frac{Q}{T_0} \right)_{in} + \frac{dS_{cv}}{dt}$$

$$\dot{S}_{gen}''' = \frac{1}{T_0} \nabla \cdot \mathbf{Q}'' - \frac{1}{T_0^2} \mathbf{Q}'' \cdot \nabla T + \rho \frac{Ds}{Dt}$$

conservation
of mass

+

1st law of
thermodynamics

+

Gibb's
Equation

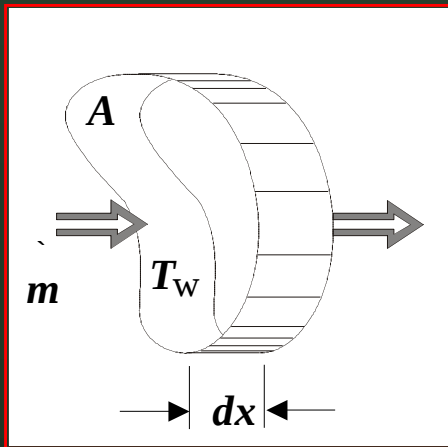
$$\dot{S}_{gen}''' = \frac{1}{T_0^2} \boxed{k (\nabla T)^2} + \frac{1}{T_0} \boxed{\mu \phi}$$

heat transfer

viscous dissipation

Entropy Balance (external & internal)

Passage geometry



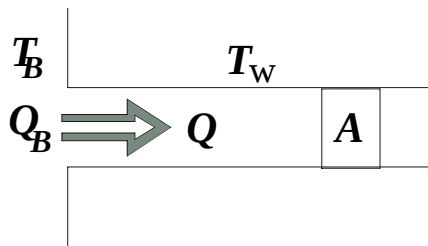
$$\dot{S}'_{gen} = \frac{Q' \Delta T_w}{T_0^2} + \frac{\dot{m}}{\rho T_0} \left(-\frac{dP}{dx} \right)$$

irreversibilities

due to: wall-fluid $\sim T$

fluid friction

Extended surface

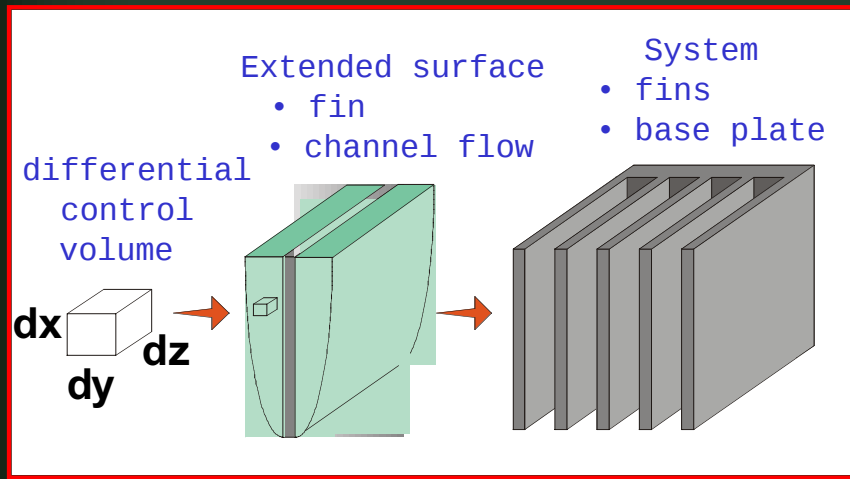


$$\dot{S}_{gen} = \iint_A \frac{Q''}{T_w} d\sigma - \frac{Q_B}{T_B}$$

irreversibilities due to

base-wall $\sim T$

Total Entropy Generation



where:

Q_B — base heat flow rate

ϑ_B — base - stream temp. difference

T_0 — ambient temperature

F_D — drag force

R_{total} — total fin resistance

U - specified

- fan curve

- buoyancy induced

$$\dot{S}_{gen} = \underbrace{\sum}_{\text{component level}} \underbrace{\sum}_{\text{elemental level}} \underbrace{\sum}_{\text{differential level}} \dot{S}_{gen}$$

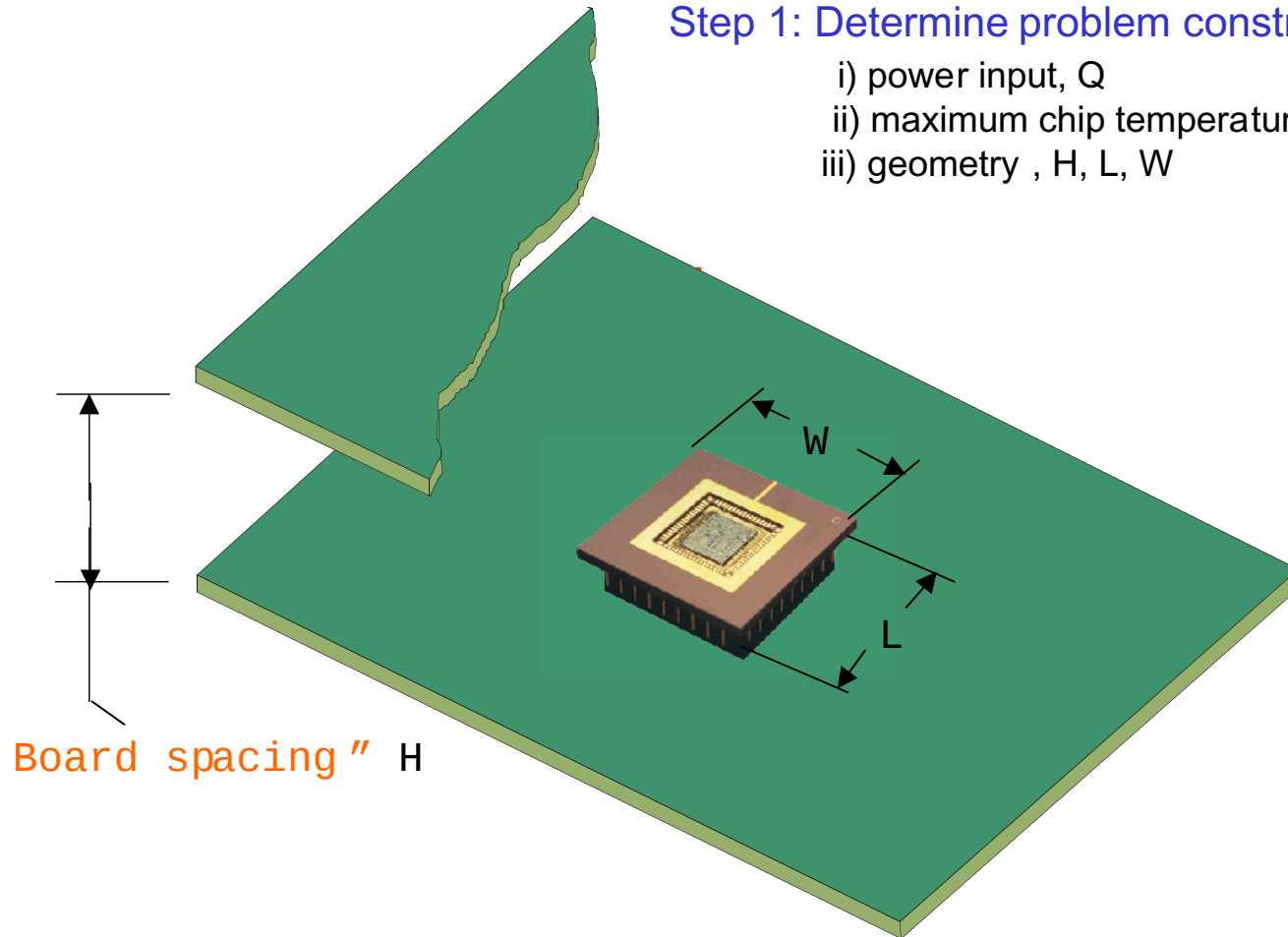
$$= \frac{Q_B \vartheta_B}{T_0^2} + \frac{F_d U}{T_0}$$

$$= \frac{Q_B^2 R_{total}}{T_0^2} + \frac{F_d U}{T_0}$$

Example: Heat Sink Optimization

Step 1: Determine problem constraints

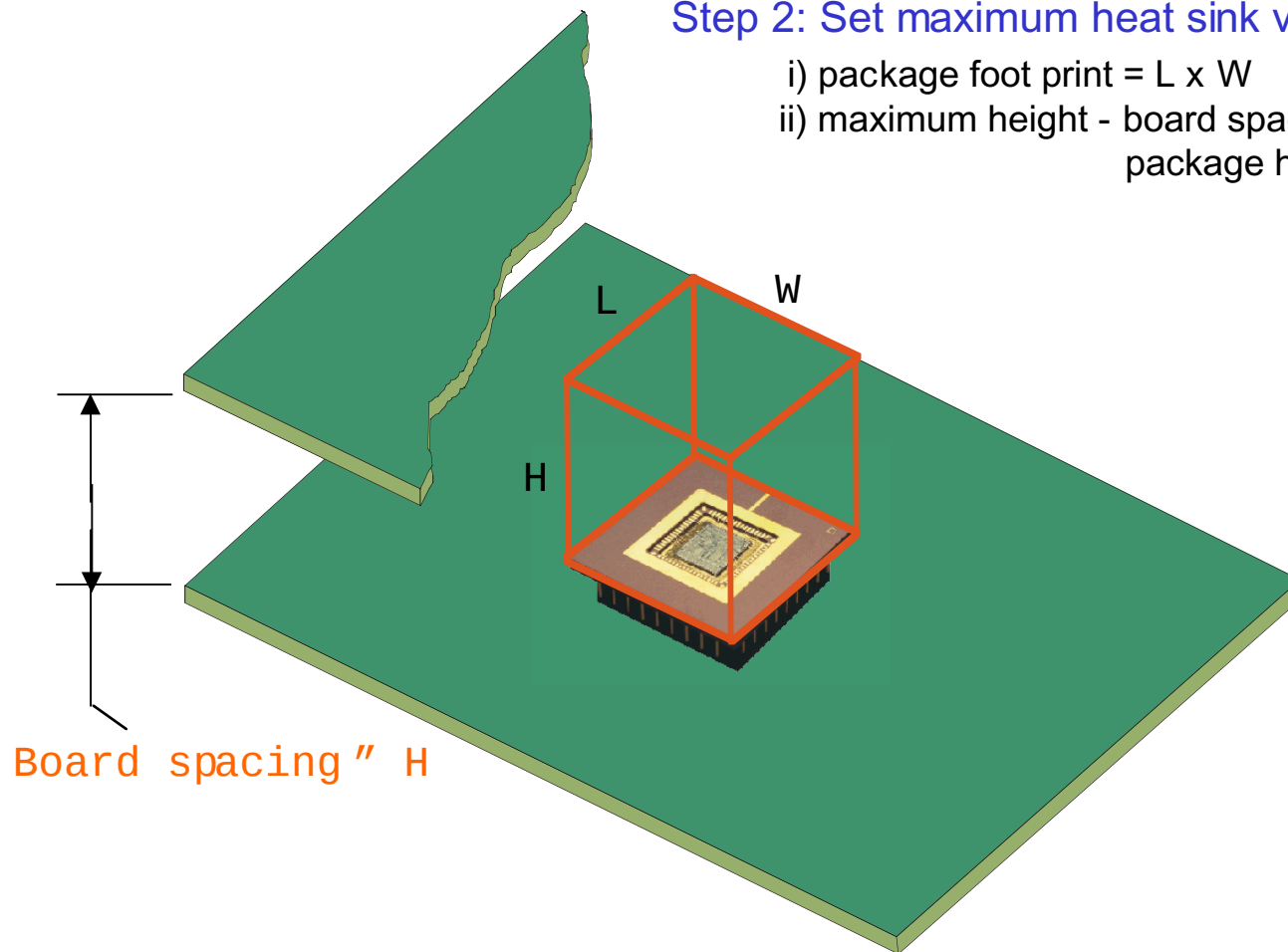
- i) power input, Q
- ii) maximum chip temperature, $T_{\max}$
- iii) geometry, H , L , W



Example: Heat Sink Optimization

Step 2: Set maximum heat sink volume

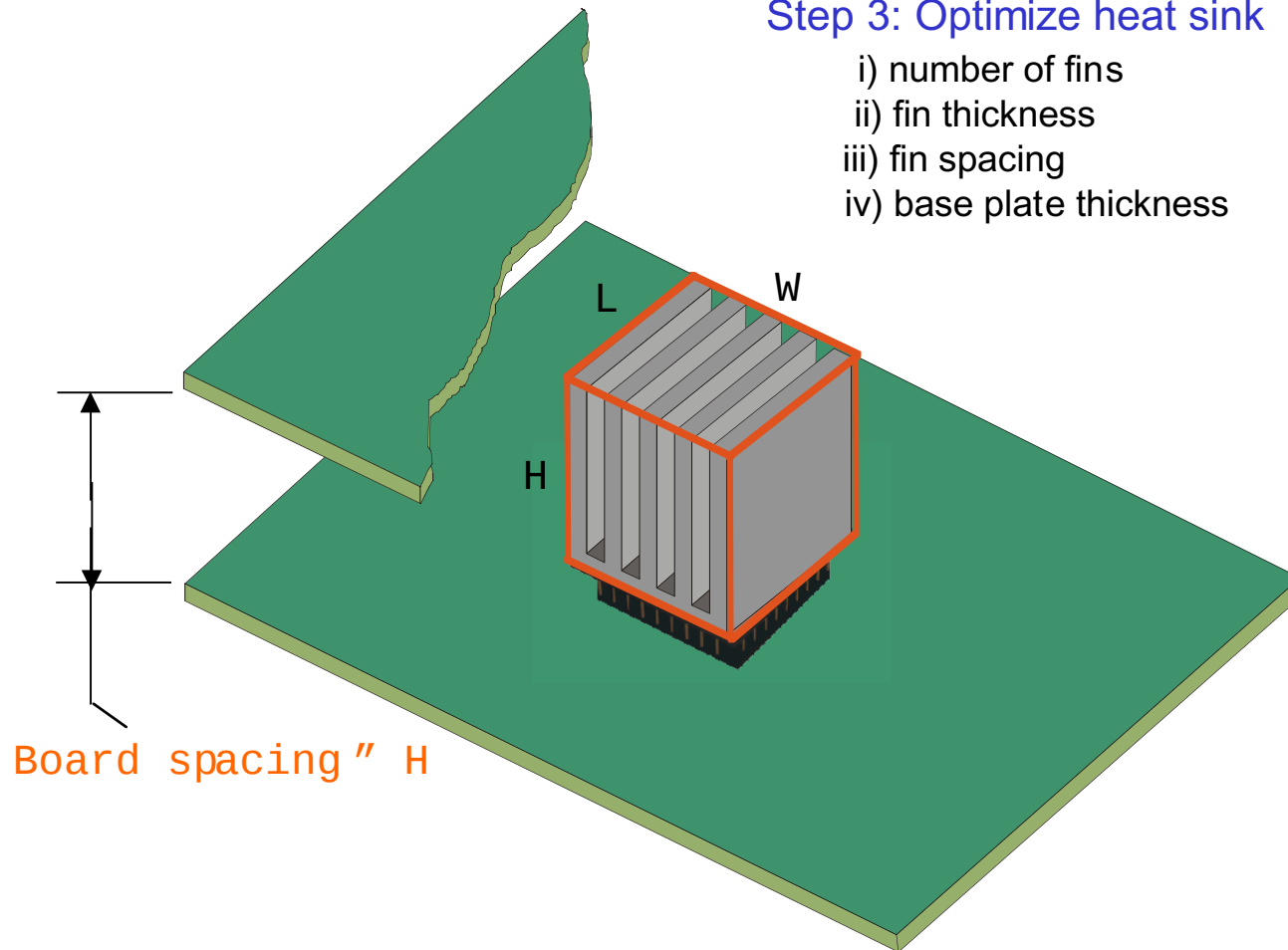
- i) package foot print = $L \times W$
- ii) maximum height = board spacing minus package height



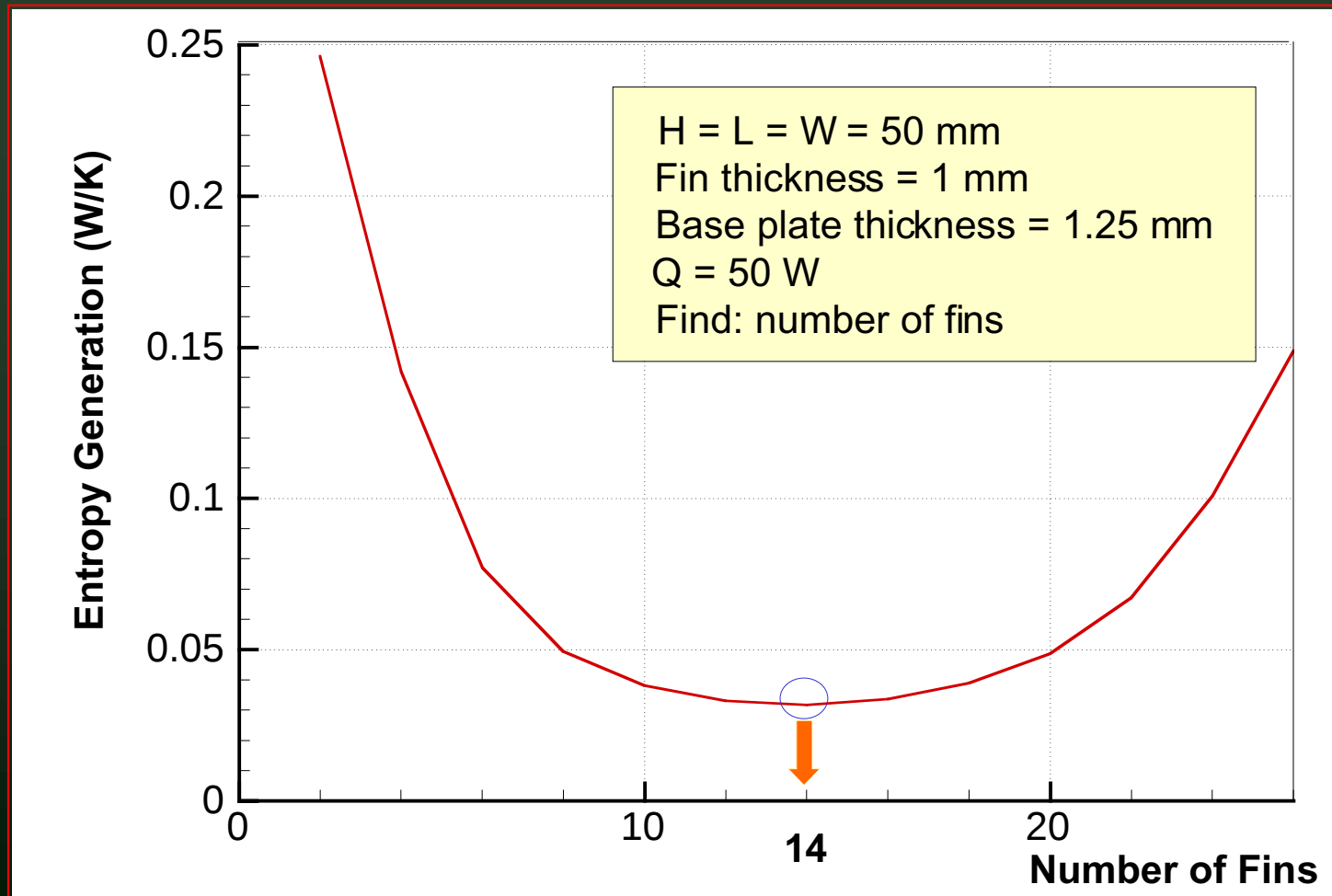
Example: Heat Sink Optimization

Step 3: Optimize heat sink

- i) number of fins
- ii) fin thickness
- iii) fin spacing
- iv) base plate thickness



Single Parameter EGM



Multi-Parameter Minimization Procedure

$$\dot{S}_{gen} = f(x_1, x_2, x_3, K, x_N)$$

$$\frac{\partial \dot{S}_{gen}}{\partial x_i} = 0 = g_i(), \quad i = 1, 2, 3, K, N$$

Newton-Raphson Method with Multiple Equations and Unknowns

$$\begin{bmatrix} \partial g_1 / \partial x_1 & \partial g_1 / \partial x_2 & \partial g_1 / \partial x_3 \\ \partial g_2 / \partial x_1 & \partial g_2 / \partial x_2 & \partial g_2 / \partial x_3 \\ \partial g_3 / \partial x_1 & \partial g_3 / \partial x_2 & \partial g_3 / \partial x_3 \end{bmatrix} \begin{bmatrix} \delta x_1 \\ \delta x_2 \\ \delta x_3 \end{bmatrix} = \begin{bmatrix} g_1 \\ g_2 \\ g_3 \end{bmatrix}$$

where: $g_i(\text{guess}) \approx g_i(\text{actual}) + g'_i(\text{guess}) \cdot \delta x_i$  *iterate until*
 $\delta x_i \rightarrow 0$

Future Work

Goal: Develop a comprehensive model to find the best heat sink design given a limited set of design constraints

Physical Design

- ¥ heat sink type
- ¥ material
- ¥ weight
- ¥ dimensions
- ¥ surface finish

Thermal

- ¥ maximum volume
- ¥ boundary conditions
- ¥ max. allowable temp.
- ¥ orientation
- ¥ flow mechanism

Cost

- ¥ labour
- ¥ manufacturing
- ¥ material

Standards

- ¥ noise
- ¥ exposure to touch

Summary

Heat sink design requires both a **selection** tool & an **analysis** tool

Selection is based on:

- ↳ physical constraints - geometry, material, etc.
- ↳ thermal-fluid conditions - bc's, properties, etc.
- ↳ miscellaneous conditions - cost, standards etc.

Analysis is based on simulating a prescribed design



The End

Karagiozis Heat Sink Model

$$Nu = \left[\left(Nu_{cub} \cdot A_{f_{cub}} + Nu_{ch}^{fd} \cdot A_{f_{ch}} \right)^{-n_1} + \left(\bar{C}_{l_m} \left\{ Ra_b^* \left(1 + \left(\frac{C}{Ra_b^*} \right)^{m_1} \right) \right\}^{1/4} \right)^{-n_1} \right]^{-1/n_1}$$

where:

$$\bar{C}_{l_m} = \left[0.509 + (0.0135) \frac{H}{b}, 0.6 \right]_{\min}$$

$$m_1 = \left[1.2, 0.64 + 0.56 \frac{H}{b} \right]_{\min}$$

$$C = 12.5 \frac{1}{(H/b)^{3.17}} (H/b), H/b \geq 1$$

$$n_1 = 1.20 \rightarrow 1.95 \quad \text{at} \quad t = 4.96 \text{ mm}$$

$$= 1.57 \rightarrow 3.0 \quad \text{at} \quad t = 9.67 \text{ mm}$$

$$= 141 \frac{1}{(H/b)^{3.17}}, H/b < 1$$

$$= 1.44 \rightarrow 2.23 \quad \text{at} \quad t = 14.96 \text{ mm}$$

Modified flat plate model $\rightarrow$ correction term at low Ra